

# Section 13.1

## Relative Extrema

Dr. Abdulla Eid

College of Science

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# Application of Differentiation

One of the most important applications of differential calculus are the *optimization problems*, i.e., finding the optimal (best) way to do something. In our case, these optimization problem are reduced to find the **minimum** or **maximum** of a function.

## Example

- 1 Find the quantity that **maximizes** the revenue.
- 2 Find the quantity that **at least** gives a return.

# 1 - Monotone Functions

Increasing Function

Geometry

Algebra

If  $a \leq b$ , then  $f(a) \leq f(b)$

## Exercise

Write a similar definition for *decreasing* function.

## Definition

A *monotone* function is either an **increasing** or **decreasing** function.

**Question:** How to tell when a function is increasing or decreasing?

**Answer:** One way is to use the definition above, which is **hard** to do in general. The other way is to use **Calculus** as follows:

- If  $f'(x) \geq 0$ , then  $f(x)$  is increasing.
- If  $f'(x) \leq 0$ , then  $f(x)$  is decreasing.

## 2 - Absolute Extrema

### Absolute Maximum (Global Maximum)

Algebra

Geometry

$f(c)$  is an *absolute maximum* (*global maximum*) if

$$f(x) \leq f(c), \text{ for all } x$$

- $f(c)$  is the **absolute maximum** (only one).
- $c$  is called **absolute maximizer**

### Exercise

Write a similar definition for *absolute minimum*.

### Definition

An *absolute extrema* is either an **absolute maximum** or **absolute minimum** function.

## 3 - Relative Extrema

### Relative Maximum (Local Maximum)

#### Algebra

#### Geometry

$f(c)$  is an *local maximum* (*relative maximum*) if

$f(x) \leq f(c)$ , for some value of  $x$  near

- $f(c)$  is the **local maximum** (maybe more than one).
- $c$  is called **local maximizer**

#### Exercise

Write a similar definition for *local minimum*.

#### Definition

An *local extrema* (*relative extrema*) is either an **local maximum** or **local minimum** function.

## Example

Let  $f(x) = x^2$ , then

- It has a global **minimum** at  $(0,0)$ .
- It has **no** global maximum.

## Example

Let  $f(x) = e^x$ , then

- It has **no** global minimum.
- It has **no** global maximum.

# Critical Points

**Question:** How to find the extrema (local min, local max, absolute min, absolute max)?

**Answer:** The following are the candidates for the extrema.

## Definition

A number  $c$  is called a **critical point** of  $f(x)$  if either

$$f'(c) = 0 \text{ or } f'(c) \text{ does not exist}$$

**Note:** These critical points are the candidates for local maximum or local minimum.



## Example

Find the critical points of the following function

$$f(x) = x^3 + x^2 - x$$

Solution:

We find the derivative first which is

$$f'(x) = 3x^2 + 2x - 1$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$3x^2 + 2x - 1 = 0$$

$$x = -1 \text{ or } x = \frac{1}{3}$$

$$f'(x) \text{ does not exist}$$

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution

## Example

(Old Final Exam Question) Find the critical points of the following function

$$f(x) = 2x^3 - 6x + 11$$

Solution:

We find the derivative first which is

$$f'(x) = 6x^2 - 6$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$6x^2 - 6 = 0$$

$$x = 1 \text{ or } x = -1$$

$f'(x)$  does not exist

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution

## Example

Find the critical points of the following function

$$f(x) = \sqrt{1 - x^2}$$

Solution:

We find the derivative first which is

$$f'(x) = \frac{-2x}{2\sqrt{1 - x^2}}$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$-2x = 0$$

$$x = 0$$

$$f'(x) \text{ does not exist}$$

$$\text{denominator} = 0$$

$$1 - x^2 = 0$$

$$x = 1 \text{ or } x = -1$$

## Example

Find the critical points of the following function

$$f(x) = \frac{x - 1}{x^2 - x + 1}$$

Solution:

We find the derivative first which is

$$f'(x) = \frac{(x^2 - x + 1)(1) - (x - 1)(2x - 1)}{(x^2 - x + 1)^2} = \frac{-x^2 + 2x}{(x^2 - x + 1)^2}$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$-x^2 + 2x = 0$$

$$x = 0 \text{ or } x = 2$$

$$f'(x) \text{ does not exist}$$

$$\text{denominator} = 0$$

$$x^2 - x + 1 = 0$$

No Solution

# First Derivative Test

**Question:** How to find the local min, local max?

## Theorem

*(First Derivative Test)*

- 1 If  $f'(x)$  changes from positive to negative as  $x$  increases, then  $f$  has a local maximum at  $a$ .
- 2 If  $f'(x)$  changes from negative to positive as  $x$  increases, then  $f$  has a local minimum at  $a$ .

## Example

Find the intervals where the function is increasing/decreasing and find all local max/min.

$$f(x) = 2x^3 + 3x^2 - 36x$$

Solution:

We find the derivative first which is

$$f'(x) = 6x^2 + 6x - 36$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$6x^2 + 6x - 36 = 0$$

$$x = 2 \text{ or } x = -3$$

$f'(x)$  does not exist

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution

# Number Line

- 1  $f$  is increasing in  $(-\infty, -3) \cup (2, \infty)$ .
- 2  $f$  is decreasing in  $(-3, 2)$ .
- 3  $f$  has a local maximum at  $x = -3$  with value  $f(-3) = 66$ .
- 4  $f$  has a local minimum at  $x = 2$  with value  $f(2) = -44$ .

## Example

(Old Exam Question) Find the intervals where the function is increasing/decreasing and find all local max/min.

$$f(x) = -x^4 + 4x^3 + 5$$

Solution:

We find the derivative first which is

$$f'(x) = -4x^3 + 12x^2 = -4x^2(x - 3)$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$-4x^2(x - 3) = 0$$

$$x = 0 \text{ or } x = 3$$

$f'(x)$  does not exist

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution



# Number Line

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- 1  $f$  is increasing in  $(-\infty, 3)$ .
- 2  $f$  is decreasing in  $(3, \infty)$ .
- 3  $f$  has a local maximum at  $x = 3$  with value  $f(3) = 32$ .
- 4  $f$  has a **no** local minimum.

## Example

(Old Exam Question) Find the intervals where the function is increasing/decreasing, find all local max/min, and sketch the graph of the function.

$$f(x) = x^3 - 12x + 3$$

Solution:

We find the derivative first which is

$$f'(x) = 3x^2 - 12$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$3x^2 - 12 = 0$$

$$x = 2 \text{ or } x = -2$$

$f'(x)$  does not exist

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution

# Number Line

- 1  $f$  is increasing in  $(-\infty, -2) \cup (2, \infty)$ .
- 2  $f$  is decreasing in  $(-2, 2)$ .
- 3  $f$  has a local maximum at  $x = -2$  with value  $f(-2) = 19$ .
- 4  $f$  has a local minimum at  $x = 2$  with value  $f(2) = -13$ .

## Exercise

(Old Exam Question) Find the intervals where the function is increasing/decreasing and find all local max/min.

$$f(x) = -2x^3 + 6x^2 - 3$$

Solution:

We find the derivative first which is

$$f'(x) = -6x^2 + 12x$$

To find the critical points, we find where the derivative equal to zero or does not exist.

$$f'(x) = 0$$

$$\text{numerator} = 0$$

$$-6x^2 + 12x = 0$$

$$x = 2 \text{ or } x = 0$$

$f'(x)$  does not exist

$$\text{denominator} = 0$$

$$1 = 0$$

Always False

No Solution

# Number Line

- 1  $f$  is decreasing in  $(-\infty, 0) \cup (2, \infty)$ .
- 2  $f$  is increasing in  $(0, 2)$ .
- 3  $f$  has a local maximum at  $x = 2$  with value  $f(2) = 5$ .
- 4  $f$  has a local minimum at  $x = 0$  with value  $f(0) = -3$ .

## Exercise

(Old Exam Question) Find the intervals where the function is increasing/decreasing and find all local max/min.

$$f(x) = x^3 - 6x^2 + 9x + 1$$

Dr. Abdulla E